

# POLARIZABILITIES OF ATOMS AND IONS IN SOLIDS

H. P. R. Frederikse

The polarization of a solid dielectric medium,  $\mathbf{P}$ , is defined as the dipole moment per unit volume averaged over the volume of a crystal cell. A component of  $\mathbf{P}$  can be expanded as a function of the electric field  $\mathbf{E}$ :

$$P_i = \sum_j a_j E_j + \sum_{jk} b_{jk} E_j E_k$$

For relatively small electric fields in isotropic substances  $\mathbf{P} = \chi_e \mathbf{E}$ , where  $\chi_e$  is the electric susceptibility. If the medium is made up of  $N$  atoms (or ions) per unit volume, the polarization is  $\mathbf{P} = N \mathbf{p}_m$  where  $\mathbf{p}_m$  is the average dipole moment per atom. The polarizability  $\alpha$  can be defined as  $\mathbf{p}_m = \alpha \mathbf{E}_0$ , where  $\mathbf{E}_0$  is the local field at the position of the atom. Using the Lorentz method to calculate the local field one finds:

$$\mathbf{P} = N\alpha(\mathbf{E} + 4\pi\mathbf{P}) = \chi_e \mathbf{E}$$

Together with the definition of the dielectric constant (relative permittivity),  $\epsilon = 1 + 4\pi\chi_e$ , this leads to:

$$\alpha = \frac{3}{4\pi N} \left( \frac{\epsilon - 1}{\epsilon + 2} \right)$$

This expression is known as the Clausius-Mossotti equation.

The total polarization associated with atoms, ions, or molecules is due to three different sources:

1. Electronic polarization arises because the center of the local electronic charge cloud around the nucleus is displaced under the action of the field:  $\mathbf{P}_e = N\alpha_e \mathbf{E}_0$  where  $\alpha_e$  is the *electronic polarizability*.

2. Ionic polarization occurs in ionic materials because the electric field displaces cations and anions in opposite directions:  $\mathbf{P}_i = N\alpha_i \mathbf{E}_0$ , where  $\alpha_i$  is the *ionic polarizability*.
3. Orientational polarization can occur in substances composed of molecules that have permanent electric dipoles. The alignment of these dipoles depends on temperature and leads to an *orientational polarizability* per molecule:  $\alpha_{or} = p^2/3kT$ , where  $p$  is the permanent dipole moment per molecule,  $k$  is the Boltzmann constant, and  $T$  is the temperature.

Because of the different nature of these three polarization processes the response of a dielectric solid to an applied electric field will strongly depend on the frequency of the field. The resonance of the electronic excitation in insulators (dielectrics) takes place in the ultraviolet part of the spectrum; the characteristic frequency of the lattice vibrations is located in the infrared, while the orientation of dipoles requires fields of much lower frequencies (below  $10^{10}$  Hz). This response to electric fields of different frequencies is shown in Figure 1. Values of the electronic polarizabilities for selected atoms and ions are given in Table 1.

## References

1. Kittel, C., *Introduction to Solid State Physics*, Fourth Edition, John Wiley & Sons, New York, 1971.
2. Lerner, R.G., and Trigg, G.L., Eds., *Encyclopedia of Physics*, Second Edition, VCH Publishers, New York, 1990.
3. Ralls, K.M., Courtney, T.H., and Wulff, J., *An Introduction to Materials Science and Engineering*, John Wiley & Sons, New York, 1976.

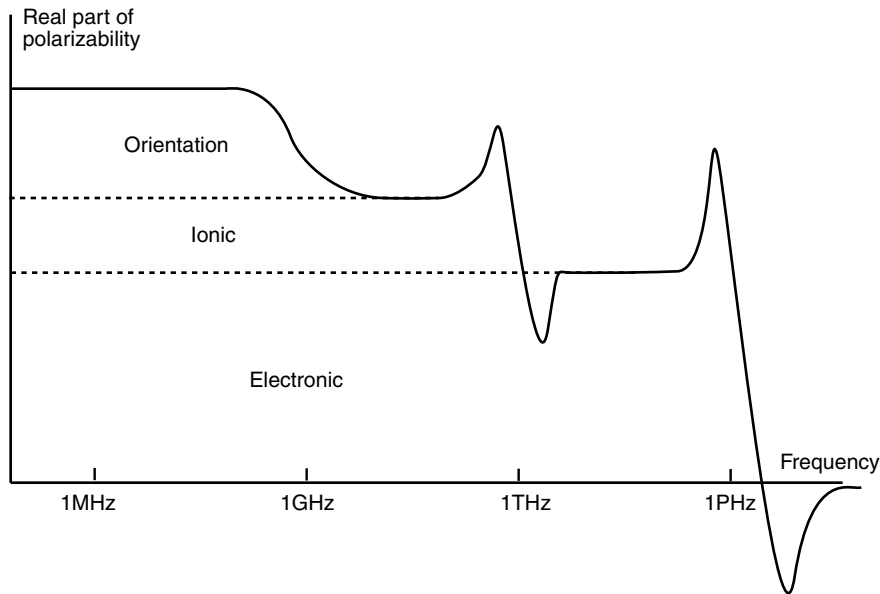


FIGURE 1. Schematic graph of the frequency dependence of the different contributions to polarizability.

TABLE 1. Electronic Polarizabilities in Units of  $10^{-24} \text{ cm}^3$ 

						He 0.201
<b>Li<sup>+</sup></b> 0.029	<b>Be<sup>2+</sup></b> 0.008	<b>B<sup>3+</sup></b> 0.003	<b>C<sup>4+</sup></b> 0.0013	<b>O<sup>2-</sup></b> 3.88	<b>F<sup>-</sup></b> 1.04	<b>Ne</b> 0.39
<b>Na<sup>+</sup></b> 0.179	<b>Mg<sup>2+</sup></b> 0.094	<b>Al<sup>3+</sup></b> 0.052	<b>Si<sup>4+</sup></b> 0.0165	<b>S<sup>2-</sup></b> 10.2	<b>Cl<sup>-</sup></b> 3.66	<b>Ar</b> 1.62
<b>K<sup>+</sup></b> 0.83	<b>Ca<sup>2+</sup></b> 0.47	<b>Sc<sup>3+</sup></b> 0.286	<b>Ti<sup>4+</sup></b> 0.185	<b>Se<sup>2-</sup></b> 10.5	<b>Br<sup>-</sup></b> 4.77	<b>Kr</b> 2.46
<b>Rb<sup>+</sup></b> 1.40	<b>Sr<sup>2+</sup></b> 0.86	<b>Y<sup>3+</sup></b> 0.55	<b>Zr<sup>4+</sup></b> 0.37	<b>Te<sup>2-</sup></b> 14.0	<b>I<sup>-</sup></b> 7.1	<b>Xe</b> 3.99
<b>Cs<sup>+</sup></b> 2.42	<b>Ba<sup>2+</sup></b> 1.55	<b>La<sup>3+</sup></b> 1.04	<b>Ce<sup>4+</sup></b> 0.73			

Data from Pauling, L., *Proc. R. Soc. London*, A114, 181, 1927. See also Jaswal, S.S. and Sharma, T.P., *J. Phys. Chem. Solids*, 34, 509, 1973. Values are appropriate for cgs units. To convert to SI, use the relation  $\alpha(\text{SI})/\text{C m}^2\text{V}^{-1} = 1.11265 \cdot 10^{-16} \alpha(\text{cgs})/\text{cm}^3$