## NONLINEAR OPTICAL CONSTANTS

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The relation between the polarization density P of a dielectric medium and the electric field E is linear when E is small, but becomes nonlinear as E acquires values comparable with interatomic electric fields (10<sup>5</sup> to 10<sup>8</sup> V/cm). Under these conditions the relation between P and E can be expanded in a Taylor's series

$$P = \varepsilon_0 \chi^{(1)} E + 2\chi^{(2)} E^2 + 4\chi^{(3)} E^3 + \cdots$$
 (1)

where  $\varepsilon_{o}$  is the permittivity of free space, while  $\chi^{(1)}$  is the linear and  $\chi^{(2)}$ ,  $\chi^{(3)}$  etc. the nonlinear optical susceptibilities.

If we consider two optical fields, the first  $E_j^{\omega_1}$  (along the *j*-direction at frequency  $\omega_1$ ) and the second  $E_k^{\omega_2}$  (along the *k*-direction at frequency  $\omega_2$ ) one can write the second term of the Taylor's series as follows

$$P_i(\omega_1\omega_2) = 2\chi_{ijk}^{\omega_3=\omega_1\pm\omega_2}E_i^{\omega_1}E_k^{\omega_2}$$

When  $\omega_1 \neq \omega_2$  the (parametric) mixing of the two fields gives rise to two new polarizations at the frequencies  $\omega_3 = \omega_1 + \omega_2$  and  $\omega_3' = \omega_1 - \omega_2$ . When the two frequencies are equal,  $\omega_1 = \omega_2 = \omega$ , the result is Second Harmonic Generation (SHG):  $\chi_{ijk}(2\omega, \omega, \omega)$ , while equal and opposite frequencies,  $\omega_1 = \omega$  and  $\omega_2 = -\omega$  leads to Optical Rectification (OR):  $\chi_{ijk}(0, \omega, -\omega)$ . In the SHG case the following convention is adopted: the second order nonlinear coefficient *d* is equal to one half of the second order nonlinear susceptibility

$$d_{ijk} = 1/2\chi^{(2)}$$

Because of the symmetry of the indices *j* and *k* one can replace these two by a single index (subscript) *m*. Consequently the notation for the SHG nonlinear coefficient in reduced form is  $d_{im}$  where *m* takes the values 1 to 6. Only noncentrosymmetric crystals can possess a nonvanishing  $d_{ijk}$  tensor (third rank). The unit of the SHG coefficients is m/V (in the MKSQ/SI system).

In centrosymmetric media the dominant nonlinearity is of the third order. This effect is represented by the third term in the Taylor's series (Equation 1); it is the result of the interaction of a number of optical fields (one to three) producing a new frequency  $\omega_4 = \omega_1 + \omega_2 + \omega_3$ . The third order polarization is given by

$$P_{j}(\omega_{1}\omega_{2}\omega_{3}) = g_{4}\chi_{jklm}E_{k}^{\omega_{1}}E_{1}^{\omega_{2}}E_{m}^{\omega_{3}}$$

Third Harmonic Generation (THG) is achieved when  $\omega_1 = \omega_2 = \omega_3 = \omega$ . In this case the constant  $g_4 = 1/4$ . The third order nonlinear coefficient *C* is related to the third order susceptibility as follows:

$$C_{jklm} = 1/4\chi_{jklm}$$

This coefficient is a fourth rank tensor. In the THG case the matrices must be invariant under permutation of the indices k, l, and m; as a result the notation for the third order nonlinear coefficient can be simplified to  $C_{jn}$ . The unit of  $C_{jn}$  is m<sup>2</sup>·V<sup>-2</sup> (in the MKSQ/SI system).

Applications of second order nonlinear optical materials include the generation of higher (up to sixth) optical harmonics, the mixing of monochromatic waves to generate sum or difference frequencies (frequency conversion), the use of two monochromatic waves to amplify a third wave (parametric amplification) and the addition of feedback to such an amplifier to create an oscillation (parametric oscillation).

Third order nonlinear optical materials are used for THG, selffocusing, four wave mixing, optical amplification, and optical conjugation. Many of these effects – as well as the variation and modulation of optical propagation caused by mechanical, electric, and magnetic fields (see the preceeding table on "Elasto-Optic, Electro-Optic, and Magneto-Optic Constants") are used in the areas of optical communication, optical computing, and optical imaging.

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# **Nonlinear Optical Constants**

### Selected SHG Coefficients of NLO Crystals\*

	Symmetry	$d_{im} \times 10^{12}$	λ		Symmetry	$d_{im} \times 10^{12}$	λ
Material	class	m/V	$\mu \mathbf{m}$	Material	class	m/V	$\mu \mathbf{m}$
GaAs	<b>ā</b> 3 m	$d_{14} = 134.1 \pm 42$	10.6	AgGaSe,	42 m	$d_{26} = 37.4 \pm 6.0$	10.6
GaP	<b>ā</b> 3 m	$d_{14} = 71.8 \pm 12.3$	1.058	(NH <sub>2</sub> ) <sub>2</sub> CO (urea)	42 m	$d_{36}^{30} = 1.3$	1.06
InAs	<b>ā</b> 3 m	$d_{14} = 364 \pm 47$	1.058	AlPO	32	$d_{11} = 0.35 \pm 0.03$	1.058
		$d_{14} = 210$	10.6	Se	32	$d_{11}^{11} = 97 \pm 25$	10.6
ZnSe	<b>ā</b> 3 m	$d_{14} = 78.4 \pm 29.3$	10.6	Te	32	$d_{11} = 650 \pm 30$	10.6
		$d_{36} = 26.6 \pm 1.7$	1.058	SiO <sub>2</sub> (quartz)	32	$d_{11} = 0.335$	1.064
β-ZnS	<b>ā</b> 3 m	$d_{14} = 30.6 \pm 8.4$	10.6	HgS	32	$d_{11} = 50.3 \pm 17$	10.6
		$d_{36} = 20.7 \pm 1.3$	1.058	$(C_6H_5CO)_2$ [benzil]	32	$d_{11} = 3.6 \pm 0.5$	1.064
ZnTe	43 m	$d_{14} = 92.2 \pm 33.5$	10.6	$\beta$ -BaB <sub>2</sub> O <sub>4</sub> [BBO]	3 m	$d_{22} = 2.22 \pm 0.09$	1.06
		$d_{14} = 83.2 \pm 8.4$	1.058			$d_{31} = 0.16 \pm 0.08$	1.06
		$d_{36} = 89.6 \pm 5.7$	1.058	LiNbO <sub>3</sub>	3 m	$d_{33} = 34.4$	1.06
CdTe	43 m	$d_{14} = 167.6 \pm 63$	10.6	-		$d_{31} = -5.95$	1.06
Bi <sub>4</sub> GeO <sub>12</sub>	43 m	$d_{14} = 1.28$	1.064			$d_{22} = 2.76$	1.06
$N_4(CH_2)_6$ (hexamine)	43 m	$d_{14} = 4.1$	1.06	LiTaO <sub>3</sub>	3 m	$d_{33} = -16.4 \pm 2$	1.058
LiIO <sub>3</sub>	6	$d_{33} = -7.02$	1.06	-		$d_{31} = -1.07 \pm 0.2$	1.058
		$d_{31} = -5.53 \pm 0.3$	1.064			$d_{22} = +1.76 \pm 0.2$	1.058
ZnO	6 mm	$d_{33} = -5.86 \pm$	1.058	Ag <sub>3</sub> AsS <sub>3</sub> [proustite]	3 m	$d_{31} = 11.3 \pm 2.5$	10.6
		0.16				$d_{22} = 18.0 \pm 2.5$	10.6
		$d_{_{31}}$ = 1.76 ± 0.16	1.058	Ag <sub>3</sub> SbS <sub>3</sub> [pyrargerite]	3m	$d_{31} = 12.6 \pm 4$	10.6
		$d_{_{15}} = 1.93 \pm 0.16$	1.058			$d_{22} = 13.4 \pm 4$	10.6
α-ZnS	6 mm	$d_{_{33}} = 11.37 \pm 0.07$	1.058	$\alpha$ -HIO <sub>3</sub>	222	$d_{36} = 5.15 \pm 0.16$	1.064
		$d_{_{33}} = 37.3 \pm 12.6$	10.6	$NO_2 \cdot CH_3 NOC_5 H_4 \cdot$	222	$d_{36} = 6.4 \pm 1.0$	1.064
		$d_{_{31}} = -18.9 \pm 6.3$	10.6	(POM)			
		$d_{_{15}} = 21.37 \pm 8.4$	10.6	Ba <sub>2</sub> NaNb <sub>5</sub> O <sub>15</sub> [Banana]	mm 2	$d_{_{33}} = -17.6 \pm$	1.064
CdS	6 mm	$d_{_{33}} = 25.8 \pm 1.6$	1.058			1.28	
		$d_{_{31}} = -13.1 \pm 0.8$	1.058			$d_{31} = -12.8 \pm$	1.064
		$d_{15} = 14.4 \pm 0.8$	1.058		2	1.28	1.064
CdSe	6 mm	$d_{_{33}} = 54.5 \pm 12.6$	10.6	$C_6H_4(NO_2)_2[MDB]$	mm 2	$d_{33} = 0.74$	1.064
		$d_{_{31}} = -26.8 \pm 2.7$	10.6			$a_{32} = 2.7$	1.064
BaTiO <sub>3</sub>	4 mm	$d_{_{33}} = 6.8 \pm 1.0$	1.064	$C^{1}(M_{2}, \mathbb{C})$		$a_{31} = 1.78$	1.064
		$d_{_{31}} = 15.7 \pm 1.8$	1.064	$\operatorname{Gd}_2(\operatorname{MOO}_4)_3$	mm 2	$a_{33} = -0.044 \pm 0.008$	1.064
		$d_{15} = 17.0 \pm 1.8$	1.064			d = +2.42 +	1 064
PbTiO <sub>3</sub>	4 mm	$d_{33} = 7.5 \pm 1.2$	1.064			$u_{32} = +2.12 \pm 0.36$	1.001
		$d_{31} = 37.6 \pm 5.6$	1.064			$d_{\rm m} = -2.49 \pm$	1.064
		$d_{15} = 33.3 \pm 5$	1.064			0.37	
$K_3Li_2Nb_5O_{15}$	4 mm	$d_{33} = 11.2 \pm 1.6$	1.064	КNbO3	mm 2	$d_{_{33}} = -19.58 \pm$	1.064
		$d_{31} = 6.18 \pm 1.28$	1.064	5		1.03	
		$d_{15} = 5.45 \pm 0.54$	1.064			$d_{_{32}}$ = +11.34 ±	1.064
$K_{0.8}Na_{0.2}Ba_2Nb_5O_{15}$	4 mm	$d_{31} = 13.6 \pm 1.6$	1.064			1.03	
$SrBaNb_5O_{15}$	4 mm	$d_{33} = 11.3 \pm 3.3$	1.064			$d_{_{31}} = -12.88 \pm$	1.064
		$d_{31} = 4.31 \pm 1.32$	1.064			1.03	
	10	$d_{15} = 5.98 \pm 2$	1.064	KTiOPO <sub>4</sub> [KTP]	mm 2	$d_{33} = 13.7$	1.06
$NH_4H_2PO_4$ (ADP)	42 m	$d_{36} = 0.53$	1.064			$d_{32} = \pm 5.0$	1.06
	10	$d_{36} = 0.85$	0.694			$d_{31} = \pm 6.5$	1.06
$KH_2PO_4(KDP)$	42 m	$d_{36} = 0.44$	1.064	$\mathrm{NO}_{2}\mathrm{C}_{6}\mathrm{H}_{4}\cdot\mathrm{NH}_{2}\mathrm{[mNA]}$	mm 2	$d_{33} = 13.12 \pm 1.28$	1.064
	10	$d_{36} = 0.4/\pm 0.0/$	0.694			$d_{32} = 1.02 \pm 0.22$	1.064
$KD_2PO_4(KD^*P)$	42 M	$u_{36} = 0.38 \pm 0.016$	1.058	C II NO DUD	0	$a_{31} = 12.48 \pm 1.28$	1.064
		$u_{36} = 0.34 \pm 0.06$	0.094	$C_{10}H_{12}N_{3}O_{6}[MAP]$	2	$a_{23} = 10.67 \pm 1.3$	1.064
	40 m	$u_{14} = 0.37$	1.058			$a_{22} = 11.7 \pm 1.3$	1.064
$KI_2ASO_4$ (KDA)	42 111	$u_{36} = 0.43 \pm 0.025$	1.00			$a_{21} = 2.35 \pm 0.5$	1.064
CdCoAg	40 m	$u_{36} = 0.59 \pm 0.4$	0.094		0	$a_{25} = -0.35 \pm 0.3$	1.064
ArCoS	42 III 42 m	$u_{36} = 551 \pm 105$ $d_{-18} \pm 2.7$	10.0	(NH <sub>2</sub> CH <sub>2</sub> COOH) <sub>3</sub> H <sub>2</sub> SO <sub>4</sub> [TGS]	2	$a_{23} = 0.32$	0.694
AgGa5 <sub>2</sub>	42 111	$u_{36} = 10 \pm 2.7$	10.0	[103]			

 $^{\ast}\,$  These data are taken from References 1 and 2.

### Selected THG Coefficients of Some NLO Materials\*

		$C_{jn} \times 10^{20}$	λ
Material	NLO process	$m^2/V^{-2}$	$\mu \mathbf{m}$
NH <sub>4</sub> H <sub>2</sub> PO <sub>4</sub> [ADP]	$(-3\omega,\omega,\omega,\omega)$	$C_{11} = 0.0104$	1.06
		$C_{18} = 0.0098$	1.06
C <sub>6</sub> H <sub>6</sub> [benzene]	$(-3\omega,\omega,\omega,\omega)$	$C_{11} = 0.0184 \pm 0.0042$	1.89
CdGeAs <sub>2</sub>	$(-3\omega,\omega,\omega,\omega)$	$C_{11} = 182 \pm 84$	10.6
p-type: 5 × 10 <sup>16</sup> cm <sup>-3</sup>		$C_{16} = 175$	10.6
		$C_{18} = -35$	10.6
$C_{40}H_{56}$ [ $\beta$ -carotene]	$(-3\omega,\omega,\omega,\omega)$	$C_{11}^{-1}$ 0.263 ± 0.08	1.89
GaAs high-resistivity	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 62 \pm 31$	1.06
Ge	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 23.5 \pm 12$	1.06
LiIO <sub>3</sub>	$(-3\omega,\omega,\omega,-\omega)$	$C_{12} = 0.2285$	1.06
		$C_{35} = 6.66 \pm 1$	1.06
KBr	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 0.0392$	1.06
		$C_{18}/C_{11} = 0.3667$	1.06
KCl	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 0.0168$	1.06
		$C_{18}/C_{11} = 0.28$	1.06
KH <sub>2</sub> PO <sub>4</sub> [KDP]	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} - 3C_{18} = 0.04$	1.06
Si p-type: 10 <sup>14</sup> cm <sup>-3</sup>	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 82.8 \pm 25$	1.06
NaCl	$(-3,\omega,\omega,\omega,-\omega)$	$C_{11} = 0.0168$	1.06
		$C_{18}/C_{11} = 0.4133$	1.06
NaF	$(-3\omega,\omega,\omega,-\omega)$	$C_{11} = 0.0035$	1.06

\* These data are taken from Reference 1.